PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

OGOSH54USA

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP2004/016981

16 November 2004

17 November 2003

TITLE OF INVENTION

NANOCRYSTAL OXIDE/GLASS COMPOSITE MESOPOROUS POWDER OR THIN FILM. PROCESS FOR PRODUCING THE SAME, AND UTILIZING THE POWDER OR THIN FILM,

VARIOUS DEVICES, SECONDARY BATTERY AND LITHIUM STORING DEVICE APPLICANT(S) FOR DO/EO/US

| | | | Haoshen Zhou and Itaru Homma | | | | | | |
|----------------|--------------|---|--|--|--|--|--|--|--|
| | | herewith s | submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | |
| 1. | \boxtimes | This is a F | FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | |
| 2. | | This is a \$ | SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. | | The US h | as been elected (Article 31) | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | |
| | | a. 🛛 | is attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | | b. 🗆 | has been communicated by the International Bureau. | | | | | | |
| | | с. 🗆 | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | |
| | | a. 🛛 | is attached hereto. | | | | | | |
| | | b. 🗆 | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | | Amendme | ents to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| | | a. 🗆 | are attached hereto (required only if not communication by the International Bureau). | | | | | | |
| | | b. 🗆 | have been communicated by the International Bureau. | | | | | | |
| | | c. 🗆 | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| | | d. 🗆 | have not been made and will not be made. | | | | | | |
| 8. | | An Englis | sh language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. | \boxtimes | An oath o | or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. 🗆 | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| | Items | s 11 to 20 | below concern document(s) or information included: | | | | | | |
| 11 | . 🗆 | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12 | 🗆 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included | | | | | | | |
| 13. 🛮 Prelimin | | | ary Amendment(s). | | | | | | |
| 14 | . 🛛 | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. 🗆 | | A substit | A substitute specification. | | | | | | |
| 16 | , ⊠ | • | of attorney and/or change of address letter. –(combined with Declaration, see above) | | | | | | |
| 17 | <u>'</u> . □ | A compu | ter-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825 | | | | | | |
| 18. 🗆 | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19 |). 🗆 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20 |). 🖾 | Other ite | ms or information: Verification of Translation; International Search Report; Request; Demand; Written Opinion; Applicant's Response to Written Opinion, International Preliminary Examination Report | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should to sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NO. (If known, see 37 CEP 1.5) | INTERNATIONAL ADDITIONAL ADDIT

| U.S. APPLICATIO | | (If known, see | | | PCT/JP20 | | | ATTORNEY'S DOCKET NUMBER OGOSH54USA | | | | |
|---|---|-------------------------------|----------------------|------------|--|-----------------|---------------------|-------------------------------------|-----------------|--|--|--|
| The followin | g fees | have been sub | mitted | | : | | | Calculations | Office use only | | | |
| 21. Basic n | ational | foo | | | | | \$300.00 | \$300.00 | | | | |
| 21. A Basic national fee \$300.00 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200 | | | | | | | | | | | | |
| 23. Search Search fee (37 Cl an Internatio International Sear All other situation | fee FR 1.44 nal Se rch Rep | \$ 400.00 | | | | | | | | | | |
| то | TAL O | \$ 900.00 | | | | | | | | | | |
| Additional fe sequence lis | e for spating or | pecification and | d drawir gram lis | ting file | d in paper over 100 she ed in an electronic medit faction thereof. | | | | | | | |
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| from the earliest of CLAIMS | ciaimed | NUMBER FI | | | NUMBER EXTRA | | RATE | \$ | | | | |
| Total claims | | 6 - 20 | | | | Х | \$50 | \$ | | | | |
| Independent Clai | | 5 - 3 | | | | X | \$200 | \$ 400.00 | | | | |
| MULTIPLE DEPE | NDEN | II CLAIM(S) (I | f applica | able) | TOTAL OF ABOVE O | + | \$360 JLATIONS = | \$ 0.00 | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. SUBTOTAL = \$1,300.00 | | | | | | | | | | | | |
| Processing fee o | f \$130. oriority | 00 for furnishir date (37 CFR | ng the E 1.492(f) | | | \$ | | | | | | |
| F - f | 41 | alasad assign | | 7 CED | TOTA (1.21(h)). The assignment | | IONAL FEE = | \$ 1,300.00 \$ | | | | |
| | | | | | R 3.28, 3.31). \$40.00 pe | er prop | | \$ 1,300.00 | | | | |
| = | | | be refunded: | Ψ 1,000.00 | \$ | | | | | | | |
| | | | | be charged | | \$ | | | | | | |
| a. D A chec | k in the | e amount of \$_ | | | to co | ver th | e above fees is e | enclosed. | | | | |
| | | | | | 8-3040 in the amount of | \$ <u>1,3</u> (| 00.00 to cover | the above fees. | | | | |
| c. The Co | A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-3040</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| d. Fees a | | | | | | | | | | | | |
| | | | | | CFR 1.495 has not bed tion to pending status. | | t, a petition to r | evive (37 CFR 1.13 | 7(a) or (b)) | | | |
| SEND ALL COR | RESP | ONDENCE TO |) ; | | William | | | | | | | |
| Customer No.: | 0027 | 0 | | | | • | | | | | | |
| HOWSON AND HOWSON | | | | | | | | | | | | |
| 501 Office Center Drive | | | | | _William Ba | | | ak | _ | | | |
| Suite 210 | | | | | NAME | | | | | | | |
| Fort Washington | on, PA | i, 19034 | | | 37,277 REGISTRATION NUMBER | | | | | | | |
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